## AMENDED IN ASSEMBLY MAY 27, 2011 AMENDED IN ASSEMBLY APRIL 4, 2011 AMENDED IN ASSEMBLY MARCH 8, 2011

CALIFORNIA LEGISLATURE—2011–12 REGULAR SESSION

## ASSEMBLY BILL

No. 5

## **Introduced by Assembly Member Fuentes**

December 6, 2010

An act to add Section 44665.5 to, and to add Article 10.7 (commencing with Section 44655) to, and to repeal Article 11 (commencing with Section 44660) of, Chapter 3 of Part 25 of Division 3 of Title 2 of, the Education Code, relating to certificated school employees. An act to amend Section 44661.5 of, and to amend and add Sections 44660, 44661, 44662, and 44664 of, the Education Code, relating to teachers.

## LEGISLATIVE COUNSEL'S DIGEST

AB 5, as amended, Fuentes. Certificated school employees: performance Teachers: best practices teacher evaluation.

Existing law states the intent of the Legislature that school district governing boards establish a uniform system of evaluation and assessment of the performance of all certificated personnel within each school district of the state. Existing law requires the governing board of each school district to establish standards of expected pupil achievement at each grade level in each area of study and to evaluate and assess certificated employee performance on a continuing basis as it reasonably relates to the progress of pupils toward the established standards and, if applicable, the state adopted academic content standards as measured by state adopted criterion referenced

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assessments, the instructional techniques and strategies used by the employee, the employee's adherence to curricular objectives, and the establishment and maintenance of a suitable learning environment, within the scope of the employee's responsibilities.

This bill would provide that the provisions described above would become inoperative on July 1 of the first fiscal year following the fiscal year in which the deficit factor, as specified, is reduced to zero. The bill would state findings and declarations of the Legislature regarding the nature of effective teachers and of the teaching profession. Commencing on July 1 of the first fiscal year following the fiscal year in which the deficit factor, as specified, is reduced to zero, the bill would require the governing board of each school district to adopt and implement a locally negotiated best practices teacher evaluation system, described as one in which each teacher is evaluated on a continuing basis on the degree to which he or she accomplishes specific objectives and multiple observations of instructional and other professional practices are conducted by trained evaluators.

Existing law requires the governing board of each school district to develop and adopt objective evaluation and assessment guidelines to evaluate the performance of its certificated employees and encourages each school district to establish an evaluation and assessment system that is uniform throughout the district. Existing law requires each school district to establish standards of expected pupil achievement at each grade level in each area of study and to evaluate and assess certificated employee performance as it reasonably relates to specified factors.

This bill would make those provisions inoperative on July 1, 2012, and would repeal them on January 1, 2013. The bill, commencing with the 2012–13 school year, instead would require the governing board of a school district to adopt and implement a fair, transparent, and rigorous evaluation system based on a uniform standard for certificated employees, as specified. In developing the evaluation system, the bill would require the governing board of a school district to include, by mutual agreement with the exclusive bargaining representative of the certificated employees in the school district, in accordance with specified statutes regarding collective bargaining, all procedures and components of the evaluation system established pursuant to these provisions. The bill would require a permanent certificated employee who is deemed to be performing in an unsatisfactory manner at the end of his or her evaluation process to participate for one year in an instructional support program for certificated employees, as adopted by the governing board

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of the school district, for the purpose of improving the performance of the employee.

By requiring school districts to perform additional duties, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that, if the Commission on State Mandates determines that the bill contains costs mandated by the state, reimbursement for those costs shall be made pursuant to these statutory provisions.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: yes.

*The people of the State of California do enact as follows:* 

- 1 SECTION 1. Section 44660 of the Education Code is amended 2 to read:
- 3 44660. (a) It is the intent of the Legislature that governing
- 4 boards establish a uniform system of evaluation and assessment
- 5 of the performance of all certificated personnel within each school
- 6 district of the state, including schools conducted or maintained by
- 7 county superintendents of education. The system shall involve the
- 8 development and adoption by each school district of objective
- 9 evaluation and assessment guidelines which may, at the discretion
- 10 of the governing board, be uniform throughout the district or, for
- 11 compelling reasons, be individually developed for territories or
- schools within the district, provided that all certificated personnel
- 13 of the district shall be subject to a system of evaluation and
- 14 assessment adopted pursuant to this article.
- 15 This

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- (b) This article does not apply to certificated personnel who are employed on an hourly basis in adult education classes.
- 18 (c) This section shall become inoperative on July 1 of the first 19 fiscal year following the fiscal year in which the deficit factor set 20 forth in Section 42238.146 is reduced to zero.
- 21 SEC. 2. Section 44660 is added to the Education Code, to read:
- 22 44660. (a) The Legislature finds and declares all of the 23 following:

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(1) Teaching is a professional endeavor, in which effective practice is driven by an understanding of knowledge in the field and a commitment to all pupils and their families.

- (2) Excellent teaching requires knowledge, skills, artistry, passion, and commitment.
- (3) Effective teachers integrate ethical concern for children and society, extensive subject matter competence, thoughtfully selected pedological practices, and a depth of knowledge about their pupils, including knowledge of child and adolescent development and learning, an understanding of their individual strengths, interests, and needs, and knowledge about their families and communities.
- (4) Effective teachers share a common set of professional and ethical obligations that includes a profound and fundamental commitment to the growth and success of the individual pupils in their care as well as to the strengthening and continual revitalization of our democratic society.
- (b) The Legislature further finds and declares that the primary purpose of an evaluation system is to ensure that teachers meet the highest professional standards of effective teaching, thereby resulting in high levels of pupil learning.
- (c) This section shall become operative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 3. Section 44661 of the Education Code is amended to read:
- 44661. (a) In the development and adoption of guidelines and procedures pursuant to this article, the governing board shall avail itself of the advice of the certificated instructional personnel in the district's organization of certificated personnel; provided, however, that the development and adoption of guidelines pursuant to this article shall also be subject to the provisions of Article 1 (commencing with Section 7100) of Chapter 2 of Part 5 of Division 1 of Title 1.
- (b) This section shall become inoperative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 4. Section 44661 is added to the Education Code, to read: 44661. (a) The governing board of each school district shall adopt and implement a best practices teacher evaluation system as set forth in this article.

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(b) The best practices teacher evaluation system required to be adopted pursuant to this article shall be locally negotiated pursuant to Chapter 10.7 (commencing with Section 3540) of Division 4 of Title 1 of the Government Code. If the certificated employees of the school district do not have an exclusive bargaining representative, the governing board of the school district shall adopt objective evaluation and support components, as applicable, that are consistent with this article.

- (c) This section shall become operative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 5. Section 44661.5 of the Education Code is amended to read:
- 44661.5. (a) When developing and adopting objective evaluation and assessment guidelines pursuant to Section 44660, a school district may, by mutual agreement between the exclusive representative of the certificated employees of the school district and the governing board of the school district, include any objective standards from the National Board for Professional Teaching Standards or any objective standards from the California Standards for the Teaching Profession if the standards to be included are consistent with this article. If the certificated employees of the school district do not have an exclusive representative, the school district may adopt objective evaluation and assessment guidelines consistent with this section.
- (b) This section shall become inoperative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 6. Section 44662 of the Education Code is amended to read:
- 44662. (a) The governing board of each school district shall establish standards of expected pupil achievement at each grade level in each area of study.
- (b) The governing board of each school district shall evaluate and assess certificated employee performance as it reasonably relates to:
- (1) The progress of pupils toward the standards established pursuant to subdivision (a) and, if applicable, the state adopted academic content standards as measured by state adopted criterion referenced assessments.

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1 (2) The instructional techniques and strategies used by the 2 employee.

- (3) The employee's adherence to curricular objectives.
- (4) The establishment and maintenance of a suitable learning environment, within the scope of the employee's responsibilities.
- (c) The governing board of each school district shall establish and define job responsibilities for certificated noninstructional personnel, including, but not limited to, supervisory and administrative personnel, whose responsibilities cannot be evaluated appropriately under the provisions of subdivision (b) and shall evaluate and assess the performance of those noninstructional certificated employees as it reasonably relates to the fulfillment of those responsibilities.
- (d) Results of an employee's participation in the Peer Assistance and Review Program for Teachers established by Article 4.5 (commencing with Section 44500) shall be made available as part of the evaluation conducted pursuant to this section.
- (e) The evaluation and assessment of certificated employee performance pursuant to this section shall not include the use of publishers' norms established by standardized tests.
- (f) Nothing in this section shall be construed as in any way limiting the authority of school district governing boards to develop and adopt additional evaluation and assessment guidelines or criteria.
- (g) This section shall become inoperative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 7. Section 44662 is added to the Education Code, to read: 44662. (a) A best practices teacher evaluation system has the following attributes:
- (1) Each teacher is evaluated on the degree to which he or she accomplishes the following objectives:
- (A) Engages and supports all pupils in learning, evidence of which may include, but is not limited to, evidence of high expectations and active pupil engagement for each pupil.
- 36 (B) Creates and maintains effective environments for pupil 37 learning.
  - (C) Understands and organizes subject matter for pupil learning, evidence of which may include, but is not limited to, extensive subject matter, content standards, and curriculum competence.

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(D) Plans instruction and designs learning experiences for all pupils, evidence of which may include, but is not limited to, use of differentiated instruction and practices based upon pupil progress and use of culturally responsive instruction, including, but not limited to, incorporation of multicultural information and content into the delivery of curriculum, to eliminate the achievement gap.

- (E) Uses pupil assessment information to inform instruction and to improve learning, evidence of which shall include, but is not limited to, use of formative and summative assessments to adjust instructional practices to meet the needs of individual pupils. For certified employees who directly instruct English learner pupils in acquiring English language fluency, the assessment information shall include the results of assessments adopted pursuant to Chapter 7 (commencing with Section 60810) of Part 33 of Division 4.
- (F) Develops as a professional educator, evidence of which may include, but is not limited to, consistent and positive relationships with pupils, parents, staff, and administrators, use of collaborative professional practices for improving instructional strategies, participation in identified professional growth opportunities, and use of meaningful self-assessment to improve as a professional educator.
- (*G*) Contributes to pupil academic growth based upon multiple measures, which may include, but are not limited to, classroom work, local and state academic assessments, and pupil grades, classroom participation, presentations and performances, and projects and portfolios. Measures used for assessing pupil academic growth shall be valid and reliable for the purpose of teacher evaluation, provided that the evaluation and assessment of certificated employee performance pursuant to this section shall not include the use of publisher's norms established by standardized tests. For certificated employees who directly instruct English learner pupils in acquiring English, measures shall include the degree to which pupils acquire the English language development standards adopted pursuant to Section 60811 for the purpose of improving a pupil's English proficiency. Pupil data used for purposes of teacher evaluation shall be confidential in the same manner as all other elements of a teacher's personnel file.

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 (2) Multiple observations of instructional and other professional practices are conducted by evaluators who have received appropriate training and who have demonstrated competence in teacher evaluation, as determined by the school district.

- (A) Multiple observations may include, but are not limited to, classroom observations, one-on-one discussions, and review of classroom materials and course of study.
- (B) Observations shall be conducted using a uniform evaluation tool.
- (C) Prior to each observation, the observer shall meet with the teacher to discuss the purpose of the observation.
- (D) After each observation, the observer shall meet with the teacher to discuss recommendations, as necessary, with regard to areas of improvement in the performance of the teacher.
- (b) This section shall not be interpreted to prohibit a locally negotiated evaluation process from designating certificated employees to conduct, or participate in, evaluations of other certificated employees for purposes of determining needs for professional development or providing corrective advice for the certificated employee being evaluated. A certificated employee who conducts, or participates in, an evaluation pursuant to this article shall not be deemed to be exercising a management or supervisory function as defined by subdivision (g) or (m) of Section 3540.1 of the Government Code.
- (c) This section shall become operative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
- SEC. 8. Section 44664 of the Education Code is amended to read:
- 44664. (a) Evaluation and assessment of the performance of each certificated employee shall be made on a continuing basis as follows:
  - (1) At least once each school year for probationary personnel.
  - (2) At least every other year for personnel with permanent status.
- (3) At least every five years for personnel with permanent status who have been employed at least 10 years with the school district, are highly qualified, if those personnel occupy positions that are required to be filled by a highly qualified professional by the federal No Child Left Behind Act of 2001 (20 U.S.C. Sec. 6301; et seq.), as defined in 20 U.S.C. Sec. 7801 Section 7801 of Title

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20 of the United States Code, and whose previous evaluation rated the employee as meeting or exceeding standards, if the evaluator and certificated employee being evaluated agree. The certificated employee or the evaluator may withdraw consent at any time.

- (b) The evaluation shall include recommendations, if necessary, as to areas of improvement in the performance of the employee. If an employee is not performing his or her duties in a satisfactory manner according to the standards prescribed by the governing board, the employing authority shall notify the employee in writing of that fact and describe the unsatisfactory performance. The employing authority shall thereafter confer with the employee making specific recommendations as to areas of improvement in the employee's performance and endeavor to assist the employee in his or her performance. If any permanent certificated employee has received an unsatisfactory evaluation, the employing authority shall annually evaluate the employee until the employee achieves a positive evaluation or is separated from the district.
- (c) Any evaluation performed pursuant to this article which contains an unsatisfactory rating of an employee's performance in the area of teaching methods or instruction may include the requirement that the certificated employee shall, as determined necessary by the employing authority, participate in a program designed to improve appropriate areas of the employee's performance and to further pupil achievement and the instructional objectives of the employing authority. If a district participates in the Peer Assistance and Review Program for Teachers established pursuant to Article 4.5 (commencing with Section 44500), any certificated employee who receives an unsatisfactory rating on an evaluation performed pursuant to this section shall participate in the Peer Assistance and Review Program for Teachers.
- (d) Hourly and temporary hourly certificated employees, other than those employed in adult education classes who are excluded by the provisions of Section 44660, and substitute teachers may be excluded from the provisions of this section at the discretion of the governing board.
- (e) This section shall become inoperative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.
  - SEC. 9. Section 44664 is added to the Education Code, to read:

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44664. (a) Evaluation and assessment of the performance of each certificated employee shall be made on a continuing basis as follows:

- (1) At least once each school year for probationary personnel.
- (2) At least every other year for personnel with permanent status.
- (3) Except as may be provided in the best practices teacher evaluation system locally negotiated pursuant to subdivision (b) of Section 44661, at least every three years for personnel with permanent status who have been employed at least 10 years with the school district, are highly qualified, if those personnel occupy positions that are required to be filled by a highly qualified professional by the federal No Child Left Behind Act of 2001 (20 U.S.C. Sec. 6301 et seq.), as defined in Section 7801 of Title 20 of the United States Code, and whose previous evaluation rated the employee as meeting or exceeding standards, if the evaluator and certificated employee being evaluated agree. The certificated employee or the evaluator may withdraw consent at any time.
- (b) The evaluation shall include recommendations, if necessary, as to areas of improvement in the performance of the employee. If an employee is not performing his or her duties in a satisfactory manner according to the standards prescribed by the governing board, the employing authority shall notify the employee in writing of that fact and describe the unsatisfactory performance. The employing authority shall thereafter confer with the employee making specific recommendations as to areas of improvement in the employee's performance and endeavor to assist the employee in his or her performance. If any permanent certificated employee has received an unsatisfactory evaluation, the employing authority shall annually evaluate the employee until the employee achieves a positive evaluation or is separated from the district.
- (c) Any evaluation performed pursuant to this article which contains an unsatisfactory rating of an employee's performance in the area of teaching methods or instruction may include the requirement that the certificated employee shall, as determined necessary by the employing authority, participate in a program designed to improve appropriate areas of the employee's performance and to further pupil achievement and the instructional objectives of the employing authority. If a district participates in the Peer Assistance and Review Program for Teachers established

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pursuant to Article 4.5 (commencing with Section 44500), any certificated employee who receives an unsatisfactory rating on an evaluation performed pursuant to this section shall participate in the Peer Assistance and Review Program for Teachers.

- (d) Hourly and temporary hourly certificated employees, other than those employed in adult education classes who are excluded by the provisions of Section 44660, and substitute teachers may be excluded from the provisions of this section at the discretion of the governing board.
- (e) This section shall become operative on July 1 of the first fiscal year following the fiscal year in which the deficit factor set forth in Section 42238.146 is reduced to zero.

SEC. 10. If the Commission on State Mandates determines that this act contains costs mandated by the state, reimbursement to local agencies and school districts for those costs shall be made pursuant to Part 7 (commencing with Section 17500) of Division 4 of Title 2 of the Government Code.

SECTION 1. Article 10.7 (commencing with Section 44655) is added to Chapter 3 of Part 25 of Division 3 of Title 2 of the Education Code, to read:

Article 10.7. Evaluation and Support System for Certificated Employees

44655. (a) Commencing with the 2012–13 school year, the governing board of a school district shall adopt and implement a fair, transparent, and rigorous evaluation system for certificated employees based on a uniform standard. The purposes of the evaluation system shall be to improve instruction for all pupils in the school district and provide meaningful and continuous support to certificated employees.

(b) In developing the evaluation system for certificated employees pursuant to this article, the governing board of a school district shall, by mutual agreement with the exclusive bargaining representative of the certificated employees in the school district, in accordance with the provisions of Chapter 10.7 (commencing with Section 3540) of Division 4 of Title 1 of the Government Code, include all procedures and components of the evaluation system established pursuant to this article. If the certificated employees of the school district do not have an exclusive

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bargaining representative, the governing board of the school district shall adopt objective evaluation and support components, as applicable, that are consistent with this article.

- 44656. (a) In its evaluation system for certificated employees, the governing board of a school district shall include all of the following components:
- (1) Evidence of the effectiveness of the certificated employee as compared to the California Standards for the Teaching Profession.
- (2) Evidence of the effectiveness of the certificated employee in teaching the state academic content standards pursuant to Section 60605 or the common core standards in English language arts and mathematics adopted pursuant to Section 60605.8, as applicable. Evidence of effectiveness for purposes of this paragraph shall include, but not be limited to, evidence of pupil progress toward the standards specified in this paragraph as measured by all of the following:
- (A) More than one year of data from the state adopted criterion referenced assessments established pursuant to Section 60605.
- (B) More than one year of data from any locally developed pupil assessment that is valid and reliable and adopted by the governing board of the school district.
- (C) Data from formative assessments as determined by the governing board of a school district to evaluate a certificated employee pursuant to this section.
- (3) Evidence of the effectiveness of a certificated employee, who directly instructs English learner pupils in acquiring English, in teaching the English language development standards adopted pursuant to Section 60811 for the purpose of improving a pupil's English proficiency as specified under the federal No Child Left Behind Act of 2001 (20 U.S.C. Sec. 6301 et seq.). Evidence of effectiveness for purposes of this paragraph shall include evidence of pupil progress toward the standards specified in this paragraph as measured by all of the following assessments:
- (A) More than one year of data from the state adopted assessment for English language proficiency pursuant to Section 60810.
- (B) More than one year of data from any locally developed pupil assessment that is valid and reliable and adopted by the governing board of the school district.

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(C) Data from formative assessments as determined by the governing board of a school district to evaluate a certificated employee pursuant to this section.

- (4) (A) Multiple observations of the certificated employee in an instructional setting. The observations shall be conducted by trained administrators and peers using a uniform tool for use in observing the certificated employee for the purpose of conducting an evaluation pursuant to this article.
- (B) Prior to each observation, the observer shall meet with the certificated employee to discuss the purpose of the observation.
- (C) After each observation, the observer shall meet with the certificated employee to discuss recommendations, as necessary, with regard to areas of improvement in the performance of the employee.
- (b) For purposes of this article, "formative assessment" means assessment questions, tools, and processes that are embedded in instruction and used by teachers and pupils to provide timely feedback for purposes of adjusting instruction to improve learning.
- (c) For purposes of evaluating certificated employees who provide instruction to pupils in courses whose progress toward the standards cannot be measured by utilizing assessment data, the governing board of a school district shall determine an alternative method to measure pupil progress toward the standards.
- (d) This section does not limit the authority of the governing board of a school district to adopt additional evaluation components. If the governing board adopts additional components, those components shall be developed in accordance with subdivision (b) of Section 44655.
- 44657. (a) Evaluation of the performance of each certificated employee pursuant to this article shall be made on a continuing basis as follows:
  - (1) At least once every school year for probationary employees.
  - (2) At least every other year for permanent employees.
- (b) (1) The evaluation of a permanent employee shall include recommendations, if necessary, as to areas of improvement in the performance of the permanent employee. If a permanent employee is performing his or her duties in an unsatisfactory manner, the employing authority shall notify the permanent employee in writing of that assessment and describe the manner in which the performance of the permanent employee is unsatisfactory. After

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the permanent employee receives the written assessment, the employing authority shall confer with the permanent employee, making specific recommendations as to areas of improvement in the permanent employee's performance as that performance relates to the evaluation components pursuant to Section 44656, and endeavor to assist the permanent employee in his or her performance.

- (2) A permanent employee who is deemed to be performing in an unsatisfactory manner at the end of his or her evaluation process shall participate for one year in an instructional support program for certificated employees adopted by the governing board of the school district for the purpose of improving the performance of the permanent employee.
- (c) The governing board of a school district shall define the term "performing in an unsatisfactory manner" for purposes of this section by mutual agreement with the exclusive bargaining representative of the certificated employees of the district.
  - 44658. This article shall become operative on July 1, 2012.
- SEC. 2. Section 44665.5 is added to the Education Code, to read:
- 44665.5. This article shall become inoperative on July 1, 2012, and, as of January 1, 2013, is repealed, unless a later enacted statute, that becomes operative on or before January 1, 2013, deletes or extends the dates on which it becomes inoperative and is repealed.
- SEC. 3. If the Commission on State Mandates determines that this act contains costs mandated by the state, reimbursement to local agencies and school districts for those costs shall be made pursuant to Part 7 (commencing with Section 17500) of Division 4 of Title 2 of the Government Code.